



ORIGINAL

DM13-077

Amerex Brokers LLC

1 Sugar Creek Center Blvd.
Suite 700
Sugar Land, TX 77478
281.340.5200
www.amerexenergy.com

March 7, 2013

VIA FEDEX

New Hampshire Public Utilities Commission
Debra Howland, Executive Director
21 South Fruit Street, Suite 10
Concord, NH 03301-2429



RE: *In The Matter of The Application of Amerex Brokers LLC for Registration to Provide Electric Power Aggregation Services to the Public Under New Hampshire Code of Administrative Rules 2006.02 (herein "Application").*

To whom it may concern:

Please find enclosed herein the official Application of Amerex Brokers LLC ("Amerex") for registration as an Electric Power Aggregator in New Hampshire. Amerex may combine the electricity loads of a single customer with multiple meters or multiple locations. As such, we are seeking the initial registration as an Electric Power Aggregator in New Hampshire in accordance with Puc 2003.04(a). Amerex has no prior enrollment as an Electric Power Aggregator in New Hampshire.

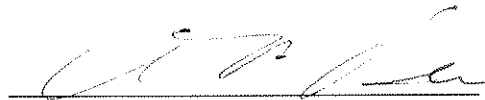
In accordance with Puc 2003.04, we have included in this Application the applicable initial registration fee of \$250.00 payable to the State of New Hampshire, and we have included an original and 2 copies of this Application as well as an electronic copy in CD form. Also enclosed herein is the Application of Amerex Brokers LLC in accordance with the form outlined in Puc 2006.02. Following the Application we have included Exhibit A evidencing Amerex's authorization to do business in New Hampshire from the Secretary of State in accordance with 2006.02(5) and Exhibit B containing a statement by Amerex affirming the accuracy and completeness of the information provided in the Application in accordance with 2006.02(9).

Amerex is a brokerage firm specializing in commodities such as electric power, natural gas and environmental commodities. The retail division of Amerex specializes in energy price risk consulting and energy procurement for commercial, governmental and industrial customers. It is Amerex's retail division which submits this Application.

Should the Commission require any additional information, documentation or clarification, please do not hesitate to contact me directly at your convenience.

Respectfully Submitted,

HPUC MAR08'13 AM 9:22



Amalia Berrios

Counsel

Amerex Brokers LLC

1 Sugar Creek Center Blvd., Suite 700

Sugar Land, Texas 77478

Phone: 281-340-5212

Email: aberrios@amerexenergy.com

BEFORE THE NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

In the Matter of the Application of Amerex	§	
Brokers LLC for Registration an Electric	§	
Power Aggregator in New Hampshire	§	Docket No.
in Accordance with Puc 2006.02	§	

Puc 2006.02 Form for Initial and Renewal Registration of Aggregators.

(a) The registration application required by Puc 2003.04(a) and Puc 2003.05(b) shall include the following:

(1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state.

Response: Amerex Brokers LLC

(2) The applicant's business address, telephone number, e-mail address and website address, as applicable.

Response: 1 Sugar Creek Center Blvd.
Suite 700
Sugar Land, Texas 77478
Phone: 281-340-5200
Email: aberrios@amerexenergy.com
Website: www.amerexenergy.com

(3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual.

Response: Amerex Brokers LLC is a Delaware limited liability company. Amerex management is comprised of 4 Managing Directors: Mark Gaynor (Chairman and Managing Director), Brian Dowell (Managing Director), Scott Halperin (Managing Director), and Paul Puchot (Managing Director). Each Managing Director has the same address and telephone number as enumerated in Item 2 above. However, Mark Gaynor has the additional role as Chairman of the Board of Managing Directors. Their respective emails are as follows: mgaynor@amerexenergy.com; bdowell@amerexenergy.com; shalperin@amerexenergy.com; and ppuchot@amerexenergy.com.

(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available.

Response: Katy Goughnour; Energy Consultant; is our customer service contact for our retail division at Amerex Brokers LLC. Her email address is kgoughnour@amerexenergy.com. Her telephone number is 281-340-5283, and fax number is 281-569-5283. The toll free number available to Amerex customers is 866-802-0519.

(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual.

Response: Please see the attached Exhibit A.

(6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above.

Response: Amerex intends to provide electric power aggregation service to customers throughout the state of New Hampshire.

(7) A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent.

Response: Amerex is not representing and does not intend to represent any supplier interest.

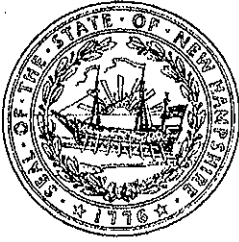
(8) Except as provided in 2003.04(e), payment of the required filing fee.

Response: Please find enclosed herein a check payable to the State of New Hampshire in the amount of \$250.00 as payment of the required filing fee by Amerex.

(9) The signature of the applicant or its representative.

Response: Please see the attached Exhibit B that contains a statement affirming the accuracy and completeness of the information provided herein.

EXHIBIT A



State of New Hampshire
Department of State
Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at corporate@sos.state.nh.us. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State
Corporation Division

Business ID#: 687527

State of New Hampshire

Filed
Date Filed: 02/25/2013
Business ID: 687527
William M. Gardner
Secretary of State

Filing fee: \$ 50.00
Fee for Form SRA: \$ 50.00
Total fees: \$100.00
Use black print or type.

Form FLLC-1
RSA 304-C:175

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is Amerex Brokers LLC

SECOND: The name which it proposes to register and do business in New Hampshire is Amerex Brokers LLC

THIRD: It is formed under the laws of Delaware

FOURTH: The date of its formation is August 22, 2006

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is Amerex Brokers LLC shall conduct in NH business for any lawful purpose; perform energy procurement service.

SIXTH: The name of its registered agent in New Hampshire is CT Corporation System

and the street address, town/city (including zip code and post office box, if any) of its registered office is (agent's business address in New Hampshire) 9 Capitol Street, Concord, NH 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

State of New Hampshire
Form FLLC 1 - Application for Foreign Registration FLLC 4 Page(s)



T1305631045

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1
(Cont.)

*Signature: Paul Puchot
Print or type name: Paul Puchot
Title: Manager
Date signed: 2-20-2013

Complete address of person signing: 1 Sugar Creek Center Blvd., Suite 70
Sugar Land, Texas
77478

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here:
Cynthia.Lchan@gfigroup.com

* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, **DATED AND SIGNED ORIGINAL AND FORM SRA** to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4989. Physical location: 25 Capitol Street, 3rd Floor, Concord, NH 03301.

**Form SRA – Addendum to Business Organization and Registration Forms
Statement of Compliance with New Hampshire Securities Laws**

Part I – Business Identification and Contact Information

Business Name: Amerex Brokers LLC
Business Address (include city, state, zip): 1 Sugar Creek Center Blvd., Suite 700, Sugar Land, Texas 77478
Telephone Number: 281 340 5272 E-mail: ppuchot@amerexenergy.com
Contact Person: Amalia Berrios
Contact Person Address (if different): Same

Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected. (PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C)):

1. ☐ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:
A) This business has 10 or fewer owners; and
B) Advertising relating to the sale of ownership interests has not been circulated; and
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2. ☐ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - _____
3. ☐ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - _____
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III – Check ONE of the following items in Part III:

1. ☒ This business is not being formed in New Hampshire.
2. ☐ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): <u>Paul Puchot</u>	Signature: <u>Paul Puchot</u>
	Date signed: <u>2-20-2013</u>
Name (print): _____	Signature: _____
	Date signed: _____
Name (print): _____	Signature: _____
	Date signed: _____

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "AMEREX BROKERS LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FIRST DAY OF FEBRUARY, A.D. 2013.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

4202618 8300

130209885

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0232510

DATE: 02-21-13

EXHIBIT B

VERIFICATION

STATE OF TEXAS §

COUNTY OF FORT BEND §

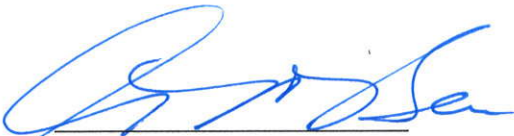
Paul Puchot, being duly sworn, deposes that he is a Managing Director at Amerex Brokers LLC; that he has read the foregoing Cover Letter and Application of Amerex Brokers LLC for Registration as an Electric Power Aggregator in New Hampshire, and all the attachments accompanying and referred to in the Application; and that the statements contained in the Cover Letter, Application and the Exhibits are true, correct and complete to the best of his knowledge, information and belief.

Amerex Brokers LLC

By: Paul Puchot
Paul Puchot, Managing Director

Notarization:

Subscribed and sworn to before me on this 7th day of March, 2013.



Notary Public

Stamp of Notary:

